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Daw
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DOCKET NO.: 8971-039-27 DIV

ASSISTANT COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450

Re: Serial No.: 10/811,129
Applicant(s): DAVID G. WHITTEN, ET AL.
Filing Date: MARCH 29, 2004
For: FLUORESCENT POLYMER-QTL APPROACH TO
BIOSENSING
Group Art Unit: 1641
Examiner: GARY W. COUNTS

SIR:

Attached hereto for filing are the following papers:

FEE TRANSMITTAL
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PTO FORM 1449

Our check in the amount of \$180.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY US LLP



John K. Pike, Ph.D.
Registration No.: 41,253



FEE TRANSMITTAL FY 2005

| | |
|----------------|--------------------------|
| Docket No. | 8971-039-27 DIV |
| Serial No. | 10/811,129 |
| Filing Date | March 29, 2004 |
| Inventor(s) | David G. WHITTEN, et al. |
| Group Art Unit | 1641 |
| Examiner | Gary W. Counts |

TOTAL AMOUNT OF PAYMENT \$180.00

1. ☐ Applicant claims small entity status.
- ☐ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

2. ☐ Check enclosed.

| FEE CALCULATION | | | | | | | | | | | 1051 | 130 | 2051 | 65 | Surcharge-late filing fee or oath | |
|---|---------------------------|--------------|--------|--------------------------|-------------------|----------|--------|---------------|------|------|----------|------------------------|---------------------|----------------|-----------------------------------|-----|
| 1. BASIC FILING FEE | | | | | | | | | | | 1053 | 130 | 1053 | 130 | Non-English Specification | |
| Large Entity | | Small Entity | | Fee Description | | | | 1251 | 120 | 2251 | 60 | 1-mo. ext. of time | | | | |
| Code | Fee \$ | Code | Fee \$ | | | Fee Paid | | 1252 | 450 | 2252 | 225 | 2-mo. ext. of time | | | | |
| 1011 | 300 | 2011 | 150 | Utility Filing Fee | | | | 1253 | 1020 | 2253 | 510 | 3-mo. ext. of time | | | | |
| 1012 | 200 | 2012 | 100 | Design Filing Fee | | | | 1254 | 1590 | 2254 | 795 | 4-mo. ext. of time | | | | |
| 1013 | 200 | 2013 | 100 | Plant Filing Fee | | | | 1255 | 2160 | 2255 | 1080 | 5-mo. ext. of time | | | | |
| 1014 | 300 | 2014 | 150 | Reissue Filing Fee | | | | 1401 | 500 | 2401 | 250 | Notice of Appeal | | | | |
| 1005 | 200 | 2005 | 100 | Provisional Filing Fee | | | | 1402 | 500 | 2402 | 250 | Appeal Brief | | | | |
| 1111 | 500 | 2111 | 250 | Utility Search Fees | | | | 1403 | 1000 | 2403 | 500 | Request for Oral | | | | |
| 1311 | 200 | 2311 | 100 | Utility Examination Fees | | | | 1501 | 1400 | 2501 | 700 | Utility/Reissue Issue | | | | |
| SUBTOTAL | | | | | | | \$0.00 | 1504 | 300 | 1504 | 300 | Publication Fee | | | | |
| 2. EXTRA CLAIM FEES | | | | | | | | 8001 | 3 | 8001 | 3 | Advance Copy of Patent | | | | |
| tot. claims | | | - | 20* | = | 0 | x | \$25 | = | 0 | 1806 | 180 | 1806 | 180 | IDS Submission | 180 |
| ind. claims | | | - | 3* | = | 0 | x | \$100 | = | 0 | 8021 | 40 | 8021 | 40 | Assignment Recordation | |
| <input type="checkbox"/> | Multiple Dependent Claims | | | | | | \$180 | = | | 1801 | 790 | 2801 | 395 | For Filing RCE | | |
| SUBTOTAL | | | | | | | | 0 | 1814 | 130 | 2814 | 65 | Terminal Disclaimer | | | |
| 3. APPLICATION SIZE FEES | | | | | | | | Other: | | | | | | | | |
| Total # of Sheets | | | | | # of Extra Sheets | | | | | | | | | | | |
| | | - | 100 | = | 0 | | | | | | | | | | | |
| # of each additional 50 sheets or fraction thereof (round up) | | | | | Fee | | | Total Fee Due | | | SUBTOTAL | | | | 180 | |
| | | x | \$125 | = | 0 | | | | | | | | | | | |

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|-----------|---------------------|------------------|---------------|-----------|--------------|
| Name | John K. Pike, Ph.D. | Registration No. | | 41,253 | |
| Signature | | Date | July 20, 2005 | Telephone | 202-861-3900 |
| Name | | Registration No. | | | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

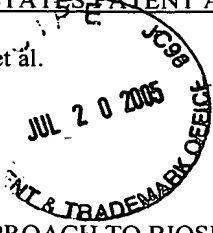
IN RE APPLICATION: David G. WHITTEN, et al.

GROUP ART UNIT: 1641

SERIAL NUMBER: 10/811,129

EXAMINER: Gary W. Counts

FILED: March 29, 2004



FOR: FLUORESCENT POLYMER -QTL APPROACH TO BIOSENSING

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents
PO BOX 1450
ALEXANDRIA, VA 22313-1450

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- ☐ Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- ☐ Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- ☐ without fee and within three months of the filing date of the application.
- ☐ without fee and within three months of the date of entry of the U.S. national stage.
- ☐ without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- ☐ without fee and with the appropriate certification above.
- ☐ without fee and with a new CPA application.
- ☐ without fee and with a Request for Continued Examination.
- ☐ with fee and before the mailing date of any Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- ☐ with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

- ☐ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

07/21/2005 HALI11 00000085 10811129

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180.00 OP

Respectfully submitted,

DLA ROPER RUDNICK GRAY CARY US LLP

John K. Pike, Ph.D.
Registration No. 41,253

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Facsimile No. (202) 223-2085

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|--|----|--|----------|-------------------------------|-----------------|--------------------------|-------------------------------|
| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | DOCKET NO. 8971-039-27 DIV | | SERIAL NO. 10/811,129 | |
| LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary) | | APPLICANT David G. WHITTEN, et al. | | FILING DATE March 29, 2004 | | GROUP ART UNIT 1641 | |
| | | | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | 6,589,731 | 07/08/03 | Chen, et al. | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | | TRANSLATION YES NO | |
| | AK | | | | | | |
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | | | |
| | AO | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| | AP | | | | | | |
| | AQ | | | | | | |
| | AR | | | | | | |
| | AS | | | | | | |
| EXAMINER | | | | | DATE CONSIDERED | | |
| *EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. | | | | | | | |